

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
RD27507-1

In Response Application Of: **LOUIS ANDREW SCHICK ET AL.**

Serial No.  
10/065,860

Filing Date  
11/26/2002

Examiner  
NYA

Group Art Unit  
3746

Invention: **METHOD AND TOOL FOR POWER PLANT OPERATIONAL OPTIMIZATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

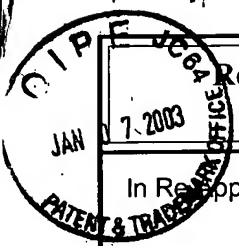
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
12/18/2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Recordation Coversheet  
Assignment  
Recordation Fee  
Transmittal of Formal Drawings  
Formal Drawings



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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$130.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
RD27507-1

In Re Application Of: LOUIS ANDREW SCHICK ET AL.

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Group Art Unit  
3746

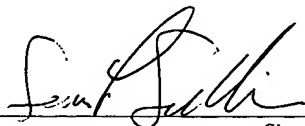
Invention: METHOD AND TOOL FOR POWER PLANT OPERATIONAL OPTIMIZATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$130.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 06-1130  
A duplicate copy of this sheet is enclosed.



Signature

Sean F. Sullivan, Esq.  
Reg. No. 38,328  
Cantor Colburn LLP  
55 Griffin Road South  
Bloomfield, CT 06002  
860-286-2929

Customer Service No. 23413

Dated: January 2, 2003

I certify that this document and fee is being deposited on 01/02/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



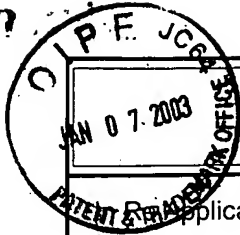
Signature of Person Mailing Correspondence

Jessica L. Walsh

Typed or Printed Name of Person Mailing Correspondence

Confirmation No. 3383

CC:



# TRANSMITTAL OF FORMAL DRAWINGS

Docket No.  
RD27507-1

Application Of: LOUIS ANDREW SCHICK ET AL.

Serial No.	Filing Date	Confirmation No.	Examiner	Art Unit
10/065,860	11/26/2002	3383	NYA	3746

Invention: METHOD AND TOOL FOR POWER PLANT OPERATIONAL OPTIMIZATION

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith are:

10 sheets of formal drawing(s) for this application.

☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).

Signature

Sean F. Sullivan, Esq.  
Reg. No. 38,328  
Cantor Colburn LLP  
55 Griffin Road South  
Bloomfield, CT 06002  
860-286-2929

Customer Service No. 23413

Dated: January 2, 2003

I certify that this document and attached formal drawings are being deposited on 01/02/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

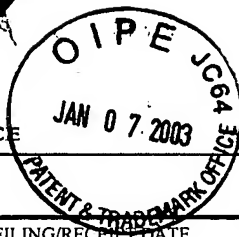
Signature of Person Mailing Correspondence

Jessica L. Walsh

Typed or Printed Name of Person Mailing Correspondence



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/065,860	11/26/2002	Louis Andrew Schick	RD 27507-1

CONFIRMATION NO. 3383

23413  
CANTOR COLBURN, LLP  
55 GRIFFIN ROAD SOUTH  
BLOOMFIELD, CT 06002

FORMALITIES LETTER



\*OC000000009257088\*

Date Mailed: 12/18/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Vinay Bhaskar Jammu*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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130.00 DP